

# DECLARATION FOR ORIGINAL U.S. PATENT APPLICATION

Attorney's Docket No. 60021.375501

As a below-named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe that I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled: **FINANCIAL MODELING AND COUNSELING SYSTEM**, the specification of which,

(check one)

1. \_\_\_\_\_ is attached hereto.

2. X was filed on November 1, 2000 as  
U.S. Application Serial No. 09/705,154  
and was amended on \_\_\_\_\_.

3. \_\_\_\_\_ was filed on \_\_\_\_\_ as  
International PCT Application Serial No. \_\_\_\_\_  
and was amended on \_\_\_\_\_.

COPY

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, CFR § 1.56.

I hereby claim foreign priority benefits under Title 35, United States code, § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

## Prior Foreign Application(s)

## Priority Benefits Claimed?

\_\_\_\_\_  
(Appl. No.) (Country) (Date Filed- Day/Month/Year)

\_\_\_ Yes \_\_\_ No

\_\_\_\_\_  
(Appl. No.) (Country) (Date Filed- Day/Month/Year)

\_\_\_ Yes \_\_\_ No

\_\_\_\_\_  
(Appl. No.) (Country) (Date Filed- Day/Month/Year)

\_\_\_ Yes \_\_\_ No

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this applications is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56 which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

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(Status - patented, pending, abandoned)

(Status - patented, pending, abandoned)

**OPPENHEIMER WOLFF & DONNELLY, LLP**  
**1400 Page Mill Road**  
**Palo Alto, California 94304**

**Daphne Burton at telephone number (202) 312-8000**

Citizenship: Canada

Date of Signature: August 9, 2001

(State/Country) Ontario/Canada

Citizenship: Canada

**Date of Signature:** \_\_\_\_\_

(State/Country) Ontario/Canada

Page 2 of 2

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## Prior Foreign Application(s)

## Priority Benefits Claimed?

|             |           |                              |                |
|-------------|-----------|------------------------------|----------------|
| _____       | _____     | _____                        | ___ Yes ___ No |
| (Appl. No.) | (Country) | (Date Filed- Day/Month/Year) |                |
| _____       | _____     | _____                        | ___ Yes ___ No |
| (Appl. No.) | (Country) | (Date Filed- Day/Month/Year) |                |
| _____       | _____     | _____                        | ___ Yes ___ No |
| (Appl. No.) | (Country) | (Date Filed- Day/Month/Year) |                |

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Prior U.S. Application(s)

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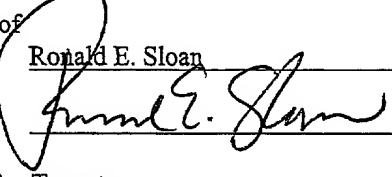
09/431,389 November 1, 1999 \_\_\_\_\_  
(Application Serial No.) (Filing Date) (Status - patented, pending, abandoned)

09/580,276 May 25, 2000 \_\_\_\_\_  
(Application Serial No.) (Filing Date) (Status - patented, pending, abandoned)

Send Correspondence To: **OPPENHEIMER WOLFF & DONNELLY, LLP**  
**1400 Page Mill Road**  
**Palo Alto, California 94304**

Direct Telephone Calls To: **Daphne Burton at telephone number (202) 312-8000**

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Typewritten Full Name of  
Sole or First Inventor: Ronald E. Sloan Citizenship: Canada  
Inventor's signature:  Date of Signature: August 9, 2001  
Residence: (City) Toronto (State/Country) Ontario/Canada  
Post Office Address: 228 Briar Hill Avenue, Toronto, Ontario, M4R 1J2, Canada

Full Name of Second Joint  
Inventor (if any): Stephen B. Slutsky Citizenship: Canada  
Inventor's signature: \_\_\_\_\_ Date of Signature: \_\_\_\_\_  
Residence: (City) Toronto (State/Country) Ontario/Canada  
Post Office Address: 206 St. George Street, Penthouse B, Toronto, Ontario, Canada M5R 2N6

**ASSIGNMENT RECORDATION COVER SHEET  
-PATENTS ONLY-**

COPY

To: Honorable Commissioner of Patents and Trademarks:

Please record the attached original document(s) or copy thereof.

1. Name of conveying party(ies)

- a) Ronald E. Sloan
- b) Stephen B. Slutsky

2. Name and address of receiving party(ies):

- a) Name: Accenture, LLP
- Address: 1661 Page Mill Road  
Palo Alto, California 94304

3. Nature of conveyance

- |  |  |
|--|--|
| <input checked="" type="checkbox"/> Assignment | <input type="checkbox"/> Merger            |
| <input type="checkbox"/> Security Agreement    | <input type="checkbox"/> Change of Name    |
| <input type="checkbox"/> Other _____           | <input type="checkbox"/> License Agreement |

Execution Date: August 4, 2001

4. Application Number(s) or Patent Number(s): 09/705,154, filed on November 1, 2000

The title of the (n ew) application is:

**FINANCIAL MODELING AND COUNSELING SYSTEM**

5. Please send all correspondence concerning this (these) documents to:

OPPENHEIMER WOLFF & DONNELLY, LLP  
1400 Page Mill Road  
Palo Alto, CA 94304  
Tel. No.: (650) 320-4358  
Fax No.: (650) 320-4200

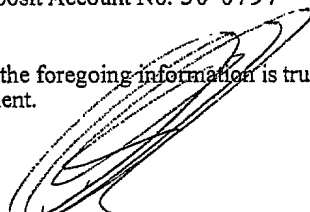
6. Total number of applications and patents involved: 1

7. Total fee (37 CFR 3.41): \$40.00

- ☐ Enclosed
- ☒ Authorized to be charged to Deposit Account No. 50-0797  
(Order No. 60021.375501)

8. To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Date: August 10, 2001

  
\_\_\_\_\_  
Rambod Nader  
Registration No. 47,262

# ASSIGNMENT OF PATENT APPLICATION

COPY

Whereas I/we the undersigned inventor(s) have invented certain new and useful improvements as set forth in the patent application entitled:

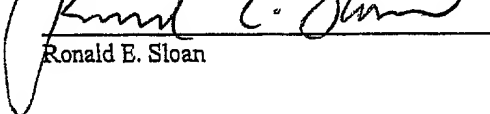
## FINANCIAL MODELING AND COUNSELING SYSTEM

Serial No. 09/705,154; filed November 1, 2000 (Atty. Docket No.: 60021.375501) for which I (we) have executed an application for a United States Letters Patent.

For good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, I/we the undersigned inventor(s) hereby:

- 1) Sell(s), assign(s) and transfer(s) to ACCENTURE, LLP, an Illinois limited liability partnership, having a place of business at 1661 Page Mill Road, Palo Alto, California 94304, (hereinafter referred to as "ASSIGNEE"), the entire right title and interest in any and all improvements and inventions disclosed in, application(s) based upon, and Patent(s) (including foreign patents) granted upon the information which is disclosed in the above referenced application.
- 2) Authorize and request the Commissioner of Patents to issue any and all Letters Patents resulting from said application or any division(s), continuation(s), substitutes(s) or reissue(s) thereof to the ASSIGNEE.
- 3) Agree to execute all papers and documents and, entirely at the ASSIGNEE's expense, perform any acts which are reasonably necessary in connection with the prosecution of said application, as well as any derivative and applications thereof, foreign applications based thereon, and/or the enforcement of patents resulting from such applications.
- 4) Agree that the terms, covenants and conditions of this assignment shall inure to the benefit of the Assignee, its successors, assigns and other legal representative, and shall be binding upon the inventor(s), as well as the inventor's heirs, legal representatives and assigns.
- 5) Warrant and represent that I/we have not entered, and will not enter into any assignment, contract, or understanding that conflicts with this assignment.

Signed on the date(s) indicated beside my (our) signature(s).

- 1) Signature:   
Typed Name: Ronald E. Sloan
- 2) Signature: \_\_\_\_\_  
Typed Name: Stephen B. Slutsky

Date: August 4, 2001

Date: \_\_\_\_\_